

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number		
			Filing Date		
			First Named Inventor	TAKATA, Yutaka	
			Art Unit		
Examiner Name					
Sheet	1	of	1	Attorney Docket Number	16869K-103300US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
LI	AA	US-2002-0152339A1	10/17/02	Akira Yamamoto, et al.	
	AB	US-			
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
	AJ	US-			
	AK	US-			
	AL	US-			
	AM	US-			
	AN	US-			
	AO	US-			
	AP	US-			
	AQ	US-			
	AR	US-			
	AS	US-			
AT	US-				

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
LI	AU	JP	2002-351703		12-06-2002	Hitachi, Ltd.		<input checked="" type="checkbox"/>
	AV							<input type="checkbox"/>
	AW							<input type="checkbox"/>
	AX							<input type="checkbox"/>
	AY							<input type="checkbox"/>
	AZ							<input type="checkbox"/>
	BA							<input type="checkbox"/>
	BB							<input type="checkbox"/>

Examiner Signature	/Lev Iwashko/	Date Considered	08/24/2006
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.
60116942 v1



PTO/SB/08A (08-03)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	10/757,957
		Filing Date	January 13, 2004
		First Named Inventor	Yutaka Takata
		Art Unit	2188
		Examiner Name	Mano Padmanabhan
Sheet 1 of 2	Attorney Docket Number	16869K-103300US	

U.S. PATENT DOCUMENTS ⁺					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
LI	1	2004/0210713	10-21-2004	Kanai	
LI	2	2004/0172509	09-02-2004	Takeda et al.	
LI	3	2004/0172510	09-02-2004	Nagashima et al.	
LI	4	2004/0153740	08-05-2004	Fujimoto	
LI	5	6,772,306	08-03-2004	Suzuki et al.	
LI	6	2004/0148329	07-29-2004	Ogasawara et al.	
LI	7	2004/0143688	07-22-2004	Sugimoto	
LI	8	2004/0133752	07-08-2004	Suzuki et al.	
LI	9	2004/0123026	06-24-2004	Kaneko	
LI	10	2004/0103257	05-27-2004	Watanabe et al.	
LI	11	2004/0088507	05-06-2004	Satoyama et al.	
LI	12	2004/0030730	02-12-2004	Arai et al.	
LI	13	2003/0221077	11-27-2003	Ohno et al.	
LI	14	2003/0198037	10-16-2003	Obara et al.	
LI	15	6,809,180	08-19-2003	Sanada et al.	
LI	16	6,311,252	10-30-2001	Raz	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)			

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60537675 v1



PTO/SB/08B (08-03)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/757,957
				Filing Date	January 13, 2004
				First Named Inventor	Yutaka Takata
				Art Unit	2188
				Examiner Name	Mano Padmanabhan
Sheet	2	of	2	Attorney Docket Number	16869K-103300US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
LI	17	ANDERSON et al. "An Application-Aware Data Storage Model," Proceedings of the 1999 USENIX Annual Technical Conference Monterey, CA (1999).	
LI	18	HARTUG "IBM TotalStorage Enterprise Storage Server: A Designer's View," IBM Systems Journal 42:383-396 (2003).	
LI	19	HSIAO et al. "A Performance Study of Three High Availability Data Replication Strategies," Proceedings of the First International Conference on Parallel and Distributed Information Systems Miami, FL (1991).	

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² Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.